

APPENDIX C - ATTESTATION

Prepared in accordance with section 15 of the Broader Public Sector Accountability Act,
2010 (BPSAA)

TO: The Board of Sunnybrook Health Sciences Centre (the "Board")

FROM: Dr. Andy Smith
President & CEO
Sunnybrook Health Sciences Centre

Date: June 11, 2018

RE: April 1, 2017 – March 31, 2018 ("the Applicable Period")

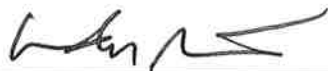
On behalf of Sunnybrook Health Sciences Centre (the Hospital) I attest to:

- the completion and accuracy of reports required of the Hospital pursuant to section 6 of the BPSAA on the use of consultants;
 - the Hospital's compliance with the prohibition in section 4 of the BPSAA on engaging lobbyist services using public funds;
 - the Hospital's compliance with any applicable expense claims directives issued under section 10 of the BPSAA by the Management Board of Cabinet;
 - the Hospital's compliance with any applicable perquisite directives issued under section 11.1 of the BPSAA by the Management Board of Cabinet;
 - the Hospital's compliance with directives issued by the Management Board of Cabinet on the preparation and publication of business plans and other business or financial documents. 2010, c. 25, s. 15 (1); 2011, c. 9, Sched. 4, s. 3; 2014, c. 13, Sched. 3, and
 - the Hospital's compliance with any applicable procurement directives issued under section 12 of the BPSAA by the Management Board of Cabinet.
- during the Applicable Period.

In making this attestation, I have exercised care and diligence that would reasonably be expected of a hospital CEO in these circumstances, including making due inquiries of Hospital staff that have knowledge of these matters.

I further certify that any material exceptions to this attestation are documented in the attached Schedule A.

Dated at Toronto, Ontario this 1st of June 2018



Dr. Andy Smith
President & CEO
Sunnybrook Health Sciences Centre

I certify that this attestation has been approved by the Board of Directors of the Sunnybrook Health Sciences Centre on June 11, 2018.



Blake C. Goldring
Chair of the Board of Directors,
Sunnybrook Health Sciences Centre

SCHEDULE A to Attestation

Reporting of Material Exceptions in accordance with Section 15 of the Broader Public Sector Accountability Act, 2010 (BPSAA) For the applicable period: April 1, 2017 to March 31, 2018

1. Exceptions to the completion and accuracy of reports required in section 6 of the BPSAA on the use of consultants;
NO KNOWN EXCEPTIONS
2. Exceptions to the Hospital's compliance with the prohibition in section 4 of the BPSAA on engaging lobbyist services using public funds;
NO KNOWN EXCEPTIONS
3. Exceptions to the Hospital's compliance with the expense claims directive issued under section 10 of the BPSAA by the Management Board of Cabinet; and
NO KNOWN EXCEPTIONS
4. Exceptions to the Hospital's compliance with the perquisites directive issued under section 11.1 of the BPSAA by the Management Board of Cabinet;
NO KNOWN EXCEPTIONS
5. Exceptions to the Hospital's compliance with the procurement directive issued under section 12 of the BPSAA by the Management Board of Cabinet
EXCEPTIONS NOTED BELOW

Sunnybrook is committed to ensuring accountability, transparency and best overall value to the organization through compliance with the requirements of the Procurement Directive. Despite this, there have been circumstances in which the Hospital has failed to comply with the strict letter of the Procurement Directive and these exceptions are noted in Appendix A1 Detailed Observations (attached).

In fiscal 2016/2017 a procurement review was conducted by an external consultant, PricewaterhouseCoopers (PwC). This audit determined that the purchase of services through the electronic procurement system (SAP) did not fully meet the Mandatory Requirement #1, Segregation of Duties (SOD). This affects a small number of transactions and Sunnybrook has mitigating controls in place to manage these exceptions. Sunnybrook is working closely with Plexxus, our Shared Service Organization (SSO), to implement a modification to the e-requisitioning system to prevent the self-approval function for services and requiring a next higher level signing authority to approve such purchases. This functionality is expected to go live in June 2018.

HOSPITAL REPORT ON CONSULTANT USE

SUNNYBROOK HEALTH SCIENCES CENTRE

LHIN – Toronto Central

Reporting Period: April 1, 2017– March 31, 2018

Appendix A



No	Consultant Firm Name	Name and Title of Consulting Contract	Contract Term If the contract term has been extended please include the original contract term and the amended contract term	Procurement Value (A) Original value plus (B) Value of amendments and (C) Total procurement value (Note – if contract term extends beyond the Reporting Period, indicate total paid during the reporting period of April 1 to March 31)	Consultant Selection Process (Open Competitive, Invitational Competitive, Non-competitive) If non-competitive, please provide an explanation	Modifications to Agreement (Yes/No) If Yes, did the procurement documents permit modifications to the term or value of the agreement
1.	Joann Trypuc	Strategic Planning for Schulich Heart Centre	October 20, 2016 – April 30, 2017	(A) \$34,050 (B) 10,050 (C) \$ 44,100	Open Competitive	Yes
2.	Collaborative Solutions	Strategic Planning for Pregnancy and Infant Loss	June 1, 2017 – March 31, 2018	(A) \$42,875 (B) \$ 6,159 (C) \$49,034	Limited Tendering	Yes
3.	EMBAR	Primary Care Strategy for TCLHIN	June 13, 2017 – March 31, 2018	(A) \$150,000 (B) \$40,000 (C) \$183,320	Open Competitive	Yes
4.	McGlasson Consulting	Orthopaedic Planning for TCLHIN	May 17, 2017 – March 31, 2018	(A) \$90,000 (B) \$ 22,013 (C) \$112,013	Open Competitive	Yes
5.	Deloitte	Strategic Planning 2019 – 2021	November 9, 2017 – March 3, 2018	(A) \$60,000 (B) \$0 (C) \$44,000	Limited Tendering	No
6.	Optimus/SBR	Operational Review	February 5, 2018 – April 27, 2018	(A) \$86,700 (B) \$0 (C) \$24,771	Open Competitive	No

Appendix A1 – Detailed Observations

Relevant Procurement	Procurement Directive Mandatory Requirement	Observation	Recommendation to Address Issue
<p>Contracts signed >6 months after contract start date:</p> <p>Parking Services Preventative Maintenance and Service Agreement, Estimated Contract Value \$192,000</p> <p>Impax and Talk Server Service Agreement Estimated Contract Value \$550,000</p>	<p>Mandatory Requirement #15 - Executing the Contract</p> <p>The agreement between the Organization and the successful supplier must be formally defined in a signed written contract before the provision of supplying goods or services commences.</p> <p>Where an immediate need exists for goods or services, and the Organization and the supplier are unable to finalize the contract as described above, an interim purchase order may be used.</p> <p>The justification of such decision must be documented and approved by the appropriate authority.</p>	<p>Contract signed more than six months after the start date. The contract start date is established based on the expected start date for new contracts or the expiry date of existing contracts.</p> <p>Delays in signing agreements can be multi-factorial. These can include an extended evaluation period, complex negotiations, joining a contract already in progress, clinical acceptability of a product, inability to meet the commitment level in the contract, etc.</p>	<p>Purchases made prior to contract signing are covered by the terms and conditions of each purchase order. Pricing is implemented as soon as possible to drive value for money for the Hospital.</p> <p>Plexus generally establishes a Letter of Intent with the vendor to ensure that contract pricing and terms are secured.</p>
<p>Sourcing Approval Form was not completed</p> <p>Transcatheter Aortic Valve Implantation Estimated Contract Value \$1,760,000</p>	<p>Mandatory Requirement #2 - Approval Authority</p> <p>Prior to commencement, any procurement of goods and non-consulting services must be approved by an appropriate authority in accordance with the approval authority schedule (AAS) of the Organization.</p>	<p>The signed copy of the Sourcing Approval Form has not yet been located.</p>	<p>Reinforce requirement to have requisitions/ Sourcing Approval Forms signed prior to commencement of sourcing activity.</p> <p>Activity signed off by appropriate authority.</p>

Appendix D: Agreements Extended Without Extension Provision

Contract #	Vendor Name	Description of Agreement	Original Effective Date	Original Expiry Date	New Expiry Date	Total Estimated Contract Value (prior to extension)	Estimated Value of	Reason for Extension
CW88104	BARD CANADA	Sutureless Catheter Stabilization	01-Dec-12	30-Nov-17	30-Sep-18	319,567	\$53,261	Contract extended to align with completion of a planned sourcing event
CW80523	GFL Environmental East Corporation	Sole Waste and Recycling Disposal Services	01-Jan-13	31-Dec-17	31-Dec-18	\$1,764,500	\$ 352,900	Contract extended to align with completion of a planned sourcing event

HealthPRO Agreements Extended without an Extension Option to align expiry dates

Contract Number	Contract Description	Original Contract Expiry Date	Revised Contract Expiry Date
03314	ANTINEOPLASTIC AGENTS	May 31, 2018	May 31, 2019
PH128RPb	ANTICHOLINERGIC AGENTS	May 31, 2018	May 31, 2019
07802	SYMPATHOMIMETIC AGENTS	May 31, 2018	May 31, 2019
PH1271HR	SYMPATHOMIMETIC AGENTS	May 11, 2018	May 11, 2019
03126	ANTICOAGULANTS	May 31, 2018	May 31, 2019
01442	CALCIUM CHANNEL BLOCKING AGENTS	May 31, 2018	May 31, 2019
PH1281ND	GENERAL ANESTHETICS	May 11, 2018	May 11, 2019
PH283RU	OPIATE AGONISTS	May 11, 2018	May 11, 2019
PH360RB	DIAGNOSTIC AGENTS	May 31, 2018	May 31, 2019
PH360RBa	DIAGNOSTIC AGENTS	May 31, 2018	May 31, 2019
02997	IRRIGANT PREPARATIONS	May 31, 2018	May 31, 2019
PH921OK	IRRIGATING SOLUTIONS	May 11, 2018	May 11, 2019
PH516WD	ENT VASOCONSTRICTORS	May 31, 2018	May 31, 2019
PH523WB	ANTIGLAUCOMA AGENTS	May 31, 2018	May 31, 2019
PH561WE	ANTIACIDS & ADSORBENTS	May 11, 2018	May 11, 2019
PH561WB	EMULSIONS	May 11, 2018	May 11, 2019
01741	LOCAL ANESTHETICS	May 11, 2018	May 11, 2019
02465	LOCAL ANESTHETICS	May 31, 2018	May 31, 2019
PH72005	LOCAL ANESTHETICS	May 31, 2018	May 31, 2019
PH72001	LOCAL ANESTHETICS	May 31, 2018	May 31, 2019
PH7200X_PHCL	LOCAL ANESTHETICS	May 31, 2018	May 31, 2019
PH760NB	OXYGENS	May 31, 2018	May 31, 2019
PH840RI	SKIN & MUCOUS MEMBRANE ANTIINFECTIVES	May 11, 2018	May 11, 2019
02161	MISC. THERAPEUTIC AGENTS	May 11, 2018	May 11, 2019
03514	OTHER MISC. THERAPEUTIC AGENTS	May 11, 2018	May 11, 2019
PH526EN	DEVICES	May 31, 2018	May 31, 2019